

COVER SHEET

SEC Registration Number

7 4 4 3

V U L C A N I N D U S T R I A L & M I N I N G

C O R P O R A T I O N

(Company's Full Name)

7 t h / F Q U A D A L P H A C E N T R U M ,

1 2 5 P I O N E E R S T M A N D A L U Y O N C I T Y

(Business Address: No., Street City / Town / Province)

Iris Marie U. Carpio-Duque  
(Compliance Officer, Asst. Corp.  
Secretary & Deputy CIO)

Contact Person

631-8078  
631-5139  
636-5133

Company Telephone Number

SEC FORM 23-B

Statement of Changes in Beneficial Ownership

1 2

Month

3 1

Day

Fiscal Year

FORM TYPE

Month

Day

Month

Day

Annual Meeting

Secondary License Type, If Applicable

Dept Requiring this Doc

Amended Articles Number / Section

Amended Articles Number / Section

Total Amount of Borrowings

Total No. of Stockholders

Domestic

Domestic

Foreign

Foreign

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

LCU

Document ID

Cashier

Cashier

STAMPS

Remarks: Please use BLACK ink for scanning purposes

**SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines**

**FORM 23-B**

**REVISED**

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**  
Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject to filing requirement

1. Name and Address of Reporting Person <b>CARPIO-DUQUE IRIS MARIE U.</b> <small>(Last) (First) (Middle)</small>		2. Issuer Name and Trading Symbol <b>Vulcan Industrial &amp; Mining Corporation (VUL)</b>			7. Relationship of Reporting Person to Issuer <small>(Check all applicable)</small>			
<b>QUAD ALPHA CENTRUM, 125 PIONEER ST.</b> <small>(Street)</small>		3. Tax Identification Number <b>203-325-982</b>	5. Statement for Month/Year <b>Sep-13</b>		<input checked="" type="checkbox"/> Director <input type="checkbox"/> Officer <input type="checkbox"/> 10% Owner <input type="checkbox"/> Other <small>(give title below) (specify below)</small> <b>Asst. Corp. Sec./Compliance Officer/Deputy CIO</b>			
<b>MANDALUYONG CITY 1550</b> <small>(City) (Province) (Postal Code)</small>		4. Citizenship <b>FILIPINO</b>			8. If Amendment, Date of Original (Month/Year)			
Table 1 - Equity Securities Beneficially Owned								
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (D) or Indirect (I) *	6. Nature of Indirect Beneficial Ownership
		No. of Shares	(A) or (D)	Price	%	Number of Shares		
COMMON SHARES - Beginning					0.00%	64,000	I	PCD
						1	D	
COMMON SHARES	9.10.2013	64,000	D	P 1.44		60,000	I	PCD
COMMON SHARES - Ending					0.00%	4,000	I	PCD
						1	D	

(Print or Type Responses)

**If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.**

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
  - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
  - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
  - (A) held by members of a person's immediate family sharing the same household;
  - (B) held by a partnership in which such person is a general partner;
  - (C) held by a corporation of which such person is a controlling shareholder; or
  - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.



Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Ownership Form of Derivative Security; Direct (D) or indirect (I) *	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Explanation of Responses:

I hereby certify that the information set forth in this Report is true, complete and accurate, after reasonable inquiry my knowledge and belief.

Note: File three (3) copies of this form, one of which must be manually signed.  
Attach additional sheets if space provided is insufficient.

  
IRIS MARIE U. CARPIO-DUQUE

09.16.2013  
Date