

COVER SHEET

- 7 4 4 3 -

SEC Registration Number

V U L C A N I N D U S T R I A L & M I N I N G

C O R P O R A T I O N

(Company's Full Name)

7 t h / F Q U A D A L P H A C E N T R U M ,

1 2 5 P I O N E E R S T M A N D A L U Y O N C I T Y

(Business Address: No., Street City / Town / Province)

Iris Marie U. Carpio-Duque  
(Compliance Officer, Asst. Corp.  
Secretary & Deputy CIO)

Contact Person

631-8078  
631-5139  
636-5133

Company Telephone Number

**SEC FORM 23-B**

Statement of Changes in Beneficial Ownership of Securities  
of

Premium Securities, Inc. as of November 2013

1 2

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Fiscal Year

3 1

Day

FORM TYPE

Month

Day

Annual Meeting

Secondary License Type, If Applicable

Dept Requiring this Doc

Amended Articles Number / Section

Total Amount of Borrowings

Domestic Foreign

Total No. of Stockholders

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

STAMPS

Remarks: Please use BLACK ink for scanning purposes

**SECURITIES AND EXCHANGE COMMISSION  
Metro Manila, Philippines**

**FORM 23-B**

**REVISED**

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**  
Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject to filing requirement

<b>1. Name and Address of Reporting Person</b> <p align="center"><b>PREMIUM SECURITIES, INC.</b></p> <small>(Last) (First) (Middle)</small> Unit 1415, Tower I & Exchange Plaza, Ayala Ave. cor. <small>(Street)</small> Paseo de Roxas, Makati City 1226 <small>(City) (Province) (Postal Code)</small>			<b>2. Issuer Name and Trading Symbol</b> <p align="center"><b>VULCAN INDUSTRIAL &amp; MINING CORPORATION (VUL)</b></p>			<b>7. Relationship of Reporting Person to Issuer</b> (Check all applicable) _____ Director _____ 10% Owner _____ Officer _____ Other (give title below) (specify below)  <p align="center"><b>Stockholder owning 5% or more</b></p>		
			<b>3. Tax Identification Number</b> 000-337-142	<b>5. Statement for Month/Year</b> Nov-13				
			<b>4. Citizenship</b> FILIPINO			<b>6. If Amendment, Date of Original (Month/Year)</b>		
Table 1 - Equity Securities Beneficially Owned								
1. Class of Equity Security	2. Transaction Date (Month/Day/Year)	4. Securities Acquired (A) or Disposed of (D)			3. Amount of Securities Owned at End of Month		4. Ownership Form: Direct (L) or Indirect (I) *	6. Nature of Indirect Beneficial Ownership
					%	Number of Shares		
COMMON SHARES	as reported by PCD for the month of November 2013	No. of Shares	(A) or (D)	Price	5.486%	32,920,152	( I )	PCD Nominee Corporation

(Print or Type Responses)

**If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.**

- Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.
- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
 
    - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
    - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security
  - (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
 
    - (A) held by members of a person's immediate family sharing the same household;
    - (B) held by a partnership in which such person is a general partner;
    - (C) held by a corporation of which such person is a controlling shareholder; or
    - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., warrants, options, convertible securities)

1. Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Yr)	4. Number of Derivative Securities Acquired (A) or Disposed of (D)		5. Date Exercisable and Expiration Date (Month/Day/Year)		6. Title and Amount of Underlying Securities		7. Price of Derivative Security	8. No. of Derivative Securities Beneficially Owned at End of Month	9. Ownership Form of Derivative Security; Direct (D) or Indirect (I)	10. Nature of Indirect Beneficial Ownership
			Amount	(A) or (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

Explanation of Responses:

I hereby certify that the information set forth in this Report is true, complete and accurate, after reasonable inquiry and to the best of my knowledge and belief.

Note: File three (3) copies of this form, one of which must be manually signed.

Attach additional sheets if space provided is insufficient.

PREMIUM SECURITIES INC.

11.07.13

\_\_\_\_\_  
Date

**PREMIUM SECURITIES, INC.**

**Vulcan Industrial Mining Corp.**

Statement of Changes in Beneficial Ownership of Securities, Inc.

For the month of November 2013

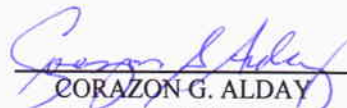
**BUYING TRANSACTION**

<u>Transaction Date</u>		<u>Beneficial Owner</u>	<u>No. of Shares</u>	<u>Price</u>
11/ 4/2013	B	000967	250,000	1.47
11/ 5/2013	B	000967	6,000	1.47
11/ 7/2013	B	000033	50,000	1.47
11/ 7/2013	B	000967	244,000	1.47
11/ 8/2013	B	000033	100,000	1.46
11/11/2013	B	000323	200,000	1.47
11/11/2013	B	001272	50,000	1.47
11/11/2013	B	001292	50,000	1.47
11/12/2013	B	000033	34,000	1.45
11/12/2013	B	000033	50,000	1.46
11/13/2013	B	000033	50,000	1.46
TOTAL BUYING			<u>1,084,000</u>	

**SELLING TRANSACTION**

<u>Transaction Date</u>		<u>Beneficial Owner</u>	<u>No. of Shares</u>	<u>Price</u>
-		-	-	-
TOTAL SELLING			<u>0</u>	

Balance as of October 31, 2013 (PDTC)				31,836,152
Add:	Total Buying -	November 2013	1,084,000	
	Total IN/SCM -	November 2013	-	
	Total Lodgement -	November 2013	-	1,084,000
TOTAL				
Less:	Total Selling -	November 2013	-	
	Total OUT/SDM -	November 2013	-	
	Total Upliftment -	November 2013	-	0
Balance as of November 30, 2013 (PDTC)				<u>32,920,152</u>

  
CORAZON G. ALDAY  
Authorized Signatory