

COVER SHEET

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SEC Registration Number

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(Company's Full Name)

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(Business Address: No., Street City / Town / Province)

| |
|--|
| Iris Marie U. Carpio-Duque (Compliance Officer, Corp. Secretary & CIO-Alternate) |
|--|

Contact Person

| |
|----------------------|
| 634-8569 636-5133 |
|----------------------|

Company Telephone Number

**Certification on the Extent of the Company's
Compliance with its Manual on Corporate Governance**

| | | | |
|-------------|-----|-------|-----|
| 1 | 2 | 3 | 1 |
| Month | Day | Month | Day |
| Fiscal Year | | | |

FORM TYPE

| | | | |
|----------------|-----|-------|-----|
| | | | |
| Month | Day | Month | Day |
| Annual Meeting | | | |

Secondary License Type, If Applicable

Dept Requiring this Doc

Amended Articles Number / Section

Total No. of Stockholders

Total Amount of Borrowings

| | |
|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> |
| Domestic | Foreign |

To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

STAMPS

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**SECURITIES AND EXCHANGE COMMISSION
 SEC FORM 17-C
 CURRENT REPORT UNDER SECTION 17
 OF THE SECURITIES REGULATION CODE
 AND SRC RULE 17.2(c) THEREUNDER**

1. November 04, 2016
Date of Report (Date of earliest event reported)
2. SEC Identification Number: 7443
3. BIR Tax Identification No. 041-000-062-736
4. VULCAN INDUSTRIAL & MINING CORPORATION
Exact name of issuer as specified in its charter
5. Philippines..... 6. (SEC Use Only)
 Province, country or other jurisdiction of incorporation Industry Classification Code:
7. Quad Alpha Centrum, 125 Pioneer Street, Mandaluyong City - 1550
Address of principal office Postal Code
8. (63 2) 631-8078 / 634-8569
Issuer's telephone number, including area code
9. NA
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

| Title of Each Class | Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding |
|---------------------|--|
| Common Stock | 1,450,000,000 |

11. Indicate the item numbers reported herein: (9):

ITEM 9. OTHER EVENTS

Please find the attached, the Certification by the Company's Compliance Officer on the Company's compliance with SEC Memorandum Circular No. 20 dated 29 November 2013.

This formal written advise is submitted in compliance with the rules and regulations of the Exchange.

SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the SEC Code of Corporate Governance and the Registrant's Manual on Corporate Governance, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.


IRIS MARIE D. CARPIO-DUQUE
Corporate Secretary
Compliance Officer/ CIO-Alternate

CERTIFICATION

Pursuant to the provisions of our Corporate Governance Manual required under Securities and Exchange Commission Memorandum Circular No. 20 dated 29 November 2013, I hereby certify that:

1. Compliance with SEC Memorandum Circular No. 20 dated 29 November, 2013, as well as all relevant Circulars on Corporate Governance have been monitored;
2. Vulcan Industrial & Mining Corporation (the "Company"), its directors, officers and employees complied with all the leading practices and principles on good corporate governance as embodied in the Company's Manual;
3. The following members of the Board of Directors, as well as Senior Management Officers, completed and were duly certified to have attended a half day (1/2) day special seminar on Corporate Governance on 04 July 2016 at 5th Floor, Quad Alpha Centrum, 125 Pioneer St., Mandaluyong City, conducted by Institute of Corporate Directors (ICD):

Directors:

ALFREDO C. RAMOS
 PRESENTACION S. RAMOS
 ROBERTO V. SAN JOSE
 ADRIAN S. ARIAS
 CARMELITO R. ZAPANTA

CHRISTOPHER M. GOTANCO
 FRANCISCO A. NAVARRO
 ADRIAN PAULINO S. RAMOS
 IRIS MARIE U. CARPIO-DUQUE
 RENATO C. VALENCIA


Officers:

DEXTER F. PELIAS

4. In addition, **ATTY. ANA MARIA A. KATIGBAK**, Director, was duly certified to have completed the half (1/2) day special seminar on Corporate Governance on September 16, 2016, conducted by Institute of Corporate Directors (ICD), held at 8 Rockwell, Rockwell Drive, Rockwell Center, Makati City.
5. In addition, **ATTY. DEBORAH S. ACOSTA-CAJUSTIN**, Asst. Corporate Secretary was duly certified to have completed the half (1/2) day special seminar on Corporate Governance on July 04, 2016 & September 06, 2016, conducted by Institute of Corporate Directors (ICD).


IRIS MARIE U. CARPIO-DUQUE
 Corporate Secretary
 Compliance Officer/ CIO-Alternate

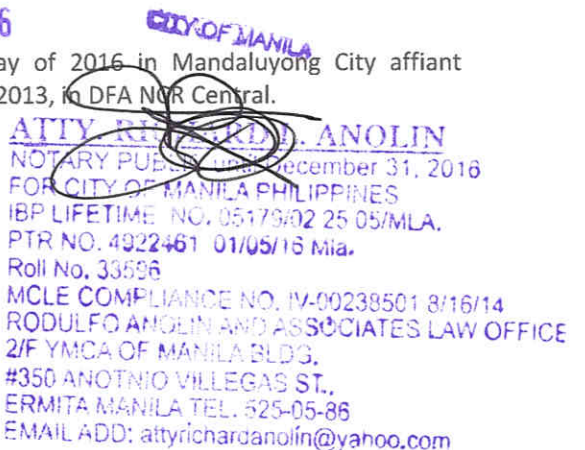
Attested:


ALFREDO C. RAMOS
 President *AR*

0 4 NOV 2016

SUBSCRIBED AND SWORN to before me this _____ day of 2016 in Mandaluyong City affiant exhibited to me her Passport No. EB7104606 issued on January 09, 2013, in DFA NCR Central.

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 Book No. 184
 Series of 2016.


ATTY. RICHARD ANOLIN
 NOTARY PUBLIC until December 31, 2016
 FOR CITY OF MANILA PHILIPPINES
 IBP LIFETIME NO. 05179/02 25 05/MLA.
 PTR NO. 4922461 01/05/16 Mia.
 Roll No. 33596
 MCLE COMPLIANCE NO. IV-00238501 8/16/14
 RODULFO ANOLIN AND ASSOCIATES LAW OFFICE
 2/F YMCA OF MANILA BLDG.,
 #350 ANOTNIO VILLEGAS ST.,
 ERMITA MANILA TEL. 525-05-86
 EMAIL ADD: attyrichardanolin@yahoo.com