

# COVER SHEET

SEC Registration Number

V U L C A N I N D U S T R I A L & M I N I N G

C O R P O R A T I O N

(Company's Full Name)

7 T H / F Q U A D A L P H A C E N T R U M ,

1 2 5 P I O N E E R S T . M A N D A L U Y O N G C I T Y

(Business Address: No., Street City / Town / Province)

Iris Marie U. Carpio-Duque

Contact Person

631-5139

Company Telephone Number

## SEC FORM 17-L

[For SEC FORM 17-A]

1 2

Month  
Fiscal Year

3 1

Day

FORM TYPE

0 5

Month  
Annual Meeting

Day

Secondary License Type, If Applicable

Dept Requiring this Doc

Amended Articles Number / Section

Total No. of Stockholders

Total Amount of Borrowings

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

STAMPS

Remarks: Please use BLACK ink for scanning purposes

**SECURITIES AND EXCHANGE COMMISSION  
SEC FORM 17-L**

**NOTIFICATION OF INABILITY TO FILE ALL OR  
ANY PORTION OF SEC FORM 17-A OR 17-Q**

Check One:                      **Form 17-A [ v ]**                      **Form 17-Q [ ]**

Period-Ended: **December 31, 2018**

Date of Required Filing: **April 15, 2019**

Date of this Report: **April 12, 2019**

If this notification relates to a portion or portions of the filing checked above, identify the item(s) to which the notification relates:

1.    SEC Identification Number: **7443**
  
2.    BIR Tax Identification No.: **000-062-736**
  
3.    **VULCAN INDUSTRIAL & MINING CORPORATION**  
      Exact name of issuer as specified in its charter
  
4.    **Philippines**  
      Province, country or other jurisdiction of incorporation or organization
  
5.    Industry Classification Code:                       (SEC Use Only)
  
6.    **Quad Alpha Centrum, 125 Pioneer Street, Mandaluyong City - 1550**  
      Address of Issuer's Principal Office                      Postal Code
  
7.    **(63 2) 631-5139**  
      Issuer's telephone number, including area code
  
8.    **Not Applicable**  
      Former name, former address and former fiscal year, if changed since last report
  
9.    Are any of the Issuer's Securities listed on a Stock Exchange?  
   Yes [ v ]                      No [   ]

If yes, disclose the name of such Stock Exchange and the class of securities listed therein:

**Philippine Stock Exchange, Inc. – Common Shares**

## PART I - REPRESENTATIONS

If the subject report could not be filed without unreasonable effort or expense and the Issuer seeks relief pursuant to SRC Rule 17-1, the following should be completed.

(Check box if appropriate)

- ( a ) The reasons described in reasonable detail in Part II of this Form could not be estimated without unreasonable effort or expense.
- ( b ) The subject annual report on SEC Form 17-A, or portion thereof, will be filed on or before the fifteenth calendar day following the prescribed due date; or the subject quarterly report on SEC Form 17-Q, or portion thereof, will be filed on or before the fifth day following prescribed due date.
- ( c ) The accountant's statement or other exhibit required by paragraph 3 of SRC Rule 17-1 has been attached if applicable.

## PART II - NARRATIVE

State below in reasonable detail the reasons why SEC Form 17-A or SEC Form 17-Q, or portion thereof, could not be filed within the prescribed period. (Attach additional sheets if needed).

SEC Form 17-A could not be filed within the prescribed period set because the Company has yet to complete the review of its financial statements and it does not expect to complete such review by April 15, 2019. Furthermore, since the non-financial portions of the Annual Report will be derived from the contents of the financial statements, the Company is constrained to defer the filing of its entire SEC Form 17-A to a later date within the fifteen-day extension allowed by the SRC Rules.

## PART III – OTHER INFORMATION

- ( a ) Name, address, electronic mail, telephone and fax numbers, including area code, and positions/title of person to contact in regard to this notification:

Iris Marie U. Carpio-Duque  
Corporate Secretary / Compliance Officer/  
Chief Corporate Information Officer  
Vulcan Industrial & Mining Corporation  
Quad Alpha Centrum  
125 Pioneer Street, Mandaluyong City  
Email Address: iriscduque@alakor.com  
Tel. Nos. (632) 631-5139 / Fax No. (632) 631-3113

- ( b ) Have all other periodic reports required under Section 17 of the Code and under Sections 26 and 141 of the Corporation Code of the Philippines during the preceding twelve (12) months, or for such shorter period that the Issuer was required to file such report(s), been filed? If the answer is no, identify the report(s).

Yes  No  Reports: \_\_\_\_\_

- ( c ) Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?

Yes  No


If so, attach an explanation of the anticipated change, both narratively and quantitatively, and, if appropriate, state the reasons why a reasonable estimate of the results cannot be made.

#### SIGNATURES

Pursuant to the requirements of the SRC Rule 17-1, the Issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

#### VULCAN INDUSTRIAL & MINING CORPORATION

Corporation Registrant's full name as contained in charter

  
Iris Marie U. Carpio-Duque  
Corporate Secretary/Compliance Officer/  
Chief Corporate Information Officer  
Signature & Title  
Date: April 12, 2019