

**GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2020  
STOCK CORPORATION

**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> VULCAN INDUSTRIAL & MINING CORPORATION		<b>DATE REGISTERED:</b> 1/13/1953
<b>BUSINESS/TRADE NAME:</b> VULCAN INDUSTRIAL & MINING CORPORATION		<b>FISCAL YEAR END:</b> Disyembre 31
<b>SEC REGISTRATION NUMBER:</b> 7443		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b> 000-062-736-000
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> Last Wednesday of May		<b>WEBSITE/URL ADDRESS:</b> <a href="http://www.vulcanminingcorp.com">www.vulcanminingcorp.com</a>
<b>ACTUAL DATE OF ANNUAL MEETING:</b> September 30, 2020		<b>E-MAIL ADDRESS:</b> <a href="mailto:info@vulcanminingcorp.com">info@vulcanminingcorp.com</a>
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> 6th Floor Quad Alpha Centrum, 125 Pioneer St. Mandaluyong City		<b>FAX NUMBER:</b> 8631-3113
<b>COMPLETE BUSINESS ADDRESS:</b> 6th Floor Quad Alpha Centrum, 125 Pioneer St. Mandaluyong City		<b>TELEPHONE NUMBER(S):</b> 8631-5139
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> SYCIP GORRES VELAYO & CO. -ALVIN M. PINPIN		<b>SEC ACCREDITATION NUMBER (if applicable):</b> 0781-AR-3 (GROUP A)
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> Mining Company		<b>GEOGRAPHICAL CODE:</b>

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS

NOTE: USE ADDITIONAL SHEET IF NECESSARY

**GENERAL INFORMATION SHEET**

**STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

**Corporate Name:**

ANGLO PHILIPPINE HOLDINGS CORPORATION

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**     Yes     No

**Please check the appropriate box:**

<p><b>1.</b></p> <p><input type="checkbox"/> a. Banks</p> <p><input type="checkbox"/> b. Offshore Banking Units</p> <p><input type="checkbox"/> c. Quasi-Banks</p> <p><input type="checkbox"/> d. Trust Entities</p> <p><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</p> <p><input type="checkbox"/> f. Pawnshops</p> <p><input type="checkbox"/> g. Foreign Exchange Dealers</p> <p><input type="checkbox"/> h. Money Changers</p> <p><input type="checkbox"/> i. Remittance Agents</p> <p><input type="checkbox"/> j. Electronic Money Issuers</p> <p><input type="checkbox"/> k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</p>	<p><b>4.</b> <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p><b>2.</b></p> <p><input type="checkbox"/> a. Insurance Companies</p> <p><input type="checkbox"/> b. Insurance Agents</p> <p><input type="checkbox"/> c. Insurance Brokers</p> <p><input type="checkbox"/> d. Professional Reinsurers</p> <p><input type="checkbox"/> e. Reinsurance Brokers</p> <p><input type="checkbox"/> f. Holding Companies</p> <p><input type="checkbox"/> g. Holding Company Systems</p> <p><input type="checkbox"/> h. Pre-need Companies</p> <p><input type="checkbox"/> i. Mutual Benefit Association</p> <p><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</p>	<p><b>5.</b> <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p><b>3.</b></p> <p><input type="checkbox"/> a. Securities Dealers</p> <p><input type="checkbox"/> b. Securities Brokers</p> <p><input type="checkbox"/> c. Securities Salesman</p> <p><input type="checkbox"/> d. Investment Houses</p> <p><input type="checkbox"/> e. Investment Agents and Consultants</p> <p><input type="checkbox"/> f. Trading Advisors</p> <p><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</p> <p><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</p> <p><input type="checkbox"/> i. Close-end Investment Companies</p> <p><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</p> <p><input type="checkbox"/> k. Transfer Companies and other similar entities</p> <p><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</p> <p><input type="checkbox"/> m. Entities administering of otherwise dealing in valuable objects</p> <p><input type="checkbox"/> n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</p>	<p><b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:</p> <p><input type="checkbox"/> a. acting as a formation agent of juridical persons</p> <p><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</p> <p><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</p> <p><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</p>
	<p><b>7.</b> Persons who provide any of the following services:</p> <p><input type="checkbox"/> a. managing of client money, securities or other assets</p> <p><input type="checkbox"/> b. management of bank, savings or securities accounts</p> <p><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</p> <p><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</p>
	<p><b>8.</b> <input checked="" type="checkbox"/> None of the above</p>
	<p>Describe nature of business:      mining</p>

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?**     Yes     No

**GENERAL INFORMATION SHEET**

STOCK CORPORATION

PLEASE PRINT LEGIBLY

**CORPORATE NAME:** VULCAN INDUSTRIAL & MINING CORPORATION

**CAPITAL STRUCTURE AS OF SEPTEMBER 03, 2020**

**AUTHORIZED CAPITAL STOCK**

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP) (No. of shares X Par/Stated Value)
4,000,000,000.00	Common	4,000,000,000	1.00	4,000,000,000.00
<b>TOTAL</b>		<b>4,000,000,000</b>	<b>TOTAL P</b>	<b>4,000,000,000.00</b>

**SUBSCRIBED CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	1,852	Common	1,435,690,078	492,092,956	1.00	1,435,690,078.00	99%
<b>TOTAL</b>			<b>1,435,690,078</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>1,435,690,078.00</b>	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
		common	14,309,922		1.00	14,309,922.00	

Percentage of Foreign Equity : **TOTAL 14,309,922** **TOTAL** **TOTAL P 14,309,922.00**

**PAID-UP CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	1,852	Common	1,435,690,078	1.00	978,187,198.00	99%
<b>TOTAL</b>			<b>1,435,690,078</b>	<b>TOTAL P</b>	<b>978,187,198.00</b>	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (PhP)	% OF OWNERSHIP
	49	Common	14,309,922	1.00	14,309,922.00	
<b>TOTAL</b>			<b>14,309,922</b>	<b>TOTAL P</b>	<b>14,309,922.00</b>	

**TOTAL PAID-UP P 992,497,120.00**

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME:

**VULCAN INDUSTRIAL & MINING CORPORATION**

## DIRECTORS / OFFICERS

NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. ALFREDO C. RAMOS 133 Arcadia Avenue, Arcadia Subdivision Ugong Norte, Quezon City	Filipino	N	C	M	Y	CHAIRMAN	-	132-017-513
2. PRESENTACION S. RAMOS 133 Arcadia Avenue, Arcadia Subdivision Ugong Norte, Quezon City	Filipino	N	M	F	Y		C & R - M	125-496-733
3. MAUREEN ALEXANDRA S. RAMOS-PADILLA 137 Arcadia Avenue, Arcadia Subdivision Ugong Norte, Quezon City	Filipino	N	M	F	Y		-	125-496-740
4. GERARD ANTON S. RAMOS 99 Amapola Street, Bel-Air 3 Subdivision Bel-air Makati City	Filipino	N	M	M	Y		C & R - M	160-302-347
5. ADRIAN PAULINO S. RAMOS 17 Luz Circle Corinthian Gardens, Quezon City	Filipino	N	M	M	Y	PRESIDENT	A - M	188-355-989
6. CHRISTOPHER M. GOTANCO 16 CD Tower Shangrila Place Wack Wack Mandaluyong City	Filipino	N	M	M	Y	VICE-CHAIR	C & R - M	125-114-467
7. REYNALDO E. NAZAREA 35 Tubigan Road Biñan City	Filipino	N	M	M	Y		-	100-717-294
8. ADRIAN S. ARIAS 117 Sandalwood Executive Village Cainta, Rizal	Filipino	N	M	M	Y		CG & N - M	107-439-052
9. ROBERTO V. SAN JOSE 4F, The Valero Tower, 122 Valero St., Salcedo Village, Makati City	Filipino	N	M	M	Y		A - M	126-470-483
10. RENATO C. VALENCIA 101 Aguirre Legaspi Village Makati City	Filipino	N	M	M	Y		A - C CG & N - M C & R - C	118-457-420
11. RAMON MANUEL M. PINEDA #8 Wack-Wack Condominium, Barangay Wack-wack Mandaluyong City	Filipino	N	M	M	Y		A - M CG & N - C C & R - M	112-105-590
12. IRIS MARIE U. CARPIO-DUQUE 19 Major Dizon St., Industrial Valley, Marikina City	Filipino	N	N	F	N	CORP. SEC.	CG & N - NM	203-325-982
13. DEBORAH S. ACOSTA-CAJUSTIN A1401 GGH, Pioneer, Mandaluyong City	Filipino	N	N	F	N	ASST. CORP. SEC.	-	216-319-365
14. GILBERT V. RABAGO Unit 25 C Gateway Regency, Pioneer Street,	Filipino	N	N	M	N	TREASURER	-	922-708-646

**INSTRUCTION:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.  
 FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.  
 FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.  
 FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.  
 FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER,  
 SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.  
 FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION  
 AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

**GENERAL INFORMATION SHEET**

STOCK CORPORATION

PLEASE PRINT LEGIBLY

**CORPORATE NAME:** **VULCAN INDUSTRIAL & MINING CORPORATION**

**TOTAL NUMBER OF STOCKHOLDERS:** \_\_\_\_\_ **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** **1,184**

**TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:** **₱58,546.00**

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
1. PCD NOMINEE CORPORATION Filipino/Non Filipino G/F MKSE Building 6767 Ayala Avenue Makati City	Common	902,809,700	902,809,700.00	62.26%	902,809,700.00	004-774-849
	<b>TOTAL</b>	<b>902,809,700</b>	<b>902,809,700.00</b>			
2. NATIONAL BOOK STORE, INC. Filipino 125 Pioneer St. Mandaluyong City	Common	486,055,662	486,055,662.00	33.52%	28,552,782.00	000-325-972
	<b>TOTAL</b>	<b>486,055,662</b>	<b>486,055,662.00</b>			
3. RAMOS, ALFREDO C. Filipino 125 Pioneer St. Mandaluyong City	Common	9,006,176	9,006,176.00	0.62%	9,006,176.00	132-017-513
	<b>TOTAL</b>	<b>9,006,176</b>	<b>9,006,176.00</b>			
4. SY, EUGENE Filipino 122 J. P. Rizal, Caloocan City	Common	3,000,000	3,000,000.00	0.21%	3,000,000	110-781-000
	<b>TOTAL</b>	<b>3,000,000</b>	<b>3,000,000.00</b>			
5. BPI-FAO (BOD) Filipino c/o Trust & Investment Dept. 4th Flr, BPI Head Ayala Ave. Makati City	Common	2,970,555	2,970,555.00	0.20%	2,970,555.00	No TIN on file
	<b>TOTAL</b>	<b>2,970,555</b>	<b>2,970,555.00</b>			
6. ACUNA, EMMANUEL Filipino 24-B East Maya Phlaim Homes, Quezon City	Common	2,800,000	2,800,000.00	0.19%	2,800,000.00	130-464-828
	<b>TOTAL</b>		<b>2,800,000.00</b>			
7. YAN, LUCIO W. &/OR CLARA YAN Filipino 537 Lafayette St., Greenhills East Mandaluyong City	Common	2,500,000	2,500,000.00	0.17%	2,500,000.00	102-646-906
	<b>TOTAL</b>		<b>2,500,000.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				<b>97.17%</b>	<b>951,639,213.00</b>	
				<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>		

**INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS**

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**GENERAL INFORMATION SHEET**  
**STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** VULCAN INDUSTRIAL & MINING CORPORATION

**TOTAL NUMBER OF STOCKHOLDERS:** \_\_\_\_\_ **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** 1,184

**TOTAL ASSETS BASED ON LATEST AUDITED FS:** P58,546.00

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
8. WISE SECURITIES PHILS. INC. Filipino 12/F L.V. Ilocsin Building 6752 Ayala Ave., Makati City	Common	1,690,000	1,690,000.00	0.12%	1,690,000.00	no TIN on file
	<b>TOTAL</b>		1,690,000.00			
9. CAMPOS, LANUZA & CO., INC. Filipino Unit 2003-B PSE Center Exchange Rd., Ortigas Ave., Pasig City	Common	1,500,769	1,500,769.00	0.10%	1,500,769.00	108-541-940
	<b>TOTAL</b>		1,500,769.00			
10. G & L SECURITIES CO., INC. Filipino Unit 512-B Lee Gardens Tower 2, Shaw Blvd., Mandaluyong City	Common	880,000	880,000.00	0.06%	880,000.00	000-105-839-000
	<b>TOTAL</b>		880,000.00			
11. L.M. GARCIA & ASSOCIATES, INC. Filipino 1606-A Tektite Tower 1, Exchange Road, Ortigas Center, Pasig City	Common	850,000	850,000.00	0.04%	850,000.00	000-331-198V
	<b>TOTAL</b>		850,000.00			
12. VIMC SPECIAL ACCOUNT Filipino 5/F Quad Alpha Centrum Bld., 125 Pioneer Street, Mandaluyong City	Common	576,500	576,500.00	0.04%	576,500.00	000-012-736
	<b>TOTAL</b>		576,500.00			
13. MENDOZA, ALBERTO &/OR JEANIE C. MENDOZA Filipino #1145 Aguillar Street, Tondo, Manila	Common	564,950	564,950.00	0.04%	564,950.00	139-569-952
	<b>TOTAL</b>		564,950.00			
14. CARLOS, FILOMENA R. Filipino #18 Lakeview Drive, Pasig City	Common	537,672	537,672.00	0.04%	537,672.00	107-262-495
	<b>TOTAL</b>		537,672.00			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>				<b>0.44%</b>		
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					<b>958,239,104.00</b>	

**INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS**

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**GENERAL INFORMATION SHEET  
STOCK CORPORATION**

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<b>CORPORATE NAME:</b>		<b>VULCAN INDUSTRIAL &amp; MINING CORPORATION</b>				
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>			<b>1,184</b>	
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>		<b>P58,546.00</b>				
<b>STOCKHOLDER'S INFORMATION</b>						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
15. <b>YAN, LUCIO W.</b> Filipino P.E. Antonio St., Bo., Ugong, Pasig Metro Manila	Common	524,904	524,904.00	0.04%	524,904.00	107-476-262
	<b>TOTAL</b>	<b>524,904</b>	<b>524,904.00</b>			
16. <b>LARRGO SECURITIES CO., INC.</b> Filipino 2/F Rufino tower Bldg., 6784 Ayala Ave., Makati City	Common	511,121	511,121.00	0.04%	511,121.00	047-000-126-030
	<b>TOTAL</b>	<b>511,121</b>	<b>511,121.00</b>			
17. <b>L. M. GARCIA SEC. CORP.</b> Filipino #211 C. de Guzman St. Malibay Pasay City	Common	500,000	500,000.00	0.03%	500,000.00	000-324-229-000
	<b>TOTAL</b>	<b>500,000</b>	<b>500,000.00</b>			
18. <b>DE GRACIA, ELEANOR LAYA &amp;/OR LAYA, JAIME C.</b> Filipino 9 Panay Avenue Quezon City	Common	456,950	456,950.00	0.03%	456,950.00	103-175-586
	<b>TOTAL</b>	<b>456,950</b>	<b>456,950.00</b>			
19. <b>ANSELMO TRINIDAD &amp; CO., INC.</b> Filipino Unit 6F, 6F 9101 Pearl Plaza, Pearl Drive, Ortigas Center, Pasig City	Common	418,035	418,035.00	0.03%	418,035.00	100-717-285
	<b>TOTAL</b>	<b>418,035</b>	<b>418,035.00</b>			
20. <b>ACUNA, EMMANUEL</b> Filipino #33 Legaspi Street, Phil-AM Life Homes, Quezon City	Common	417,000	417,000.00	0.03%	417,000.00	537-781-7
	<b>TOTAL</b>	<b>417,000</b>	<b>417,000.00</b>			
21. <b>OTHERS (Indicate the number of the remaining stockholders)</b>	Common	31,656,282	31,430,006.00	2.18%	31,430,006.00	
	<b>TOTAL</b>		<b>31,430,006.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>					<b>992,497,120.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						
<b>INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS</b>						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						

**GENERAL INFORMATION SHEET**  
**STOCK CORPORATION**

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**CORPORATE NAME:** **VULCAN INDUSTRIAL & MINING CORPORATION**

1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (Php)	DATE OF BOARD RESOLUTION
1.1 STOCKS	-	-
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	-	-
1.3 LOANS/ CREDITS/ ADVANCES	-	-
1.4 GOVERNMENT TREASURY BILLS	-	-
1.5 OTHERS	-	-

2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION

3. TREASURY SHARES n/a	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
	-	

4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR

5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:

TYPE OF DIVIDEND	AMOUNT (Php)	DATE DECLARED
5.1 CASH		
5.2 STOCK		
5.3 PROPERTY		
<b>TOTAL</b>	<b>P</b>	

6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: n/a

DATE	NO. OF SHARES	AMOUNT

SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:

NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.			
DATE ISSUED:			
DATE STARTED OPERATIONS:			

TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
	SIX (6)		SIX (6)

NOTE: USE ADDITIONAL SHEET IF NECESSARY




I, IRIS MARIE U. CARPIO-DUQUE, Corporate Secretary of VULCAN INDUSTRIAL & MINING CORPORATION declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (Section 177, RA No. 11232).


Done this OCT 26 2020 day of PASIG CITY, 20   in \_\_\_\_\_.

  
IRIS MARIE U. CARPIO-DUQUE  
Signature over printed name)

**PASIG CITY**

**SUBSCRIBED AND SWORN TO** before me in \_\_\_\_\_ on OCT 26 2020 by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of Passport No. P4323180A issued on Sept. 11, 2017 in DFA NCR EAST.

Doc. No. 15;  
Page No. 27;  
Book No. 78;  
Series of 2020

  
**GAUDENCIO A. BARBOZA, JR.**  
**NOTARY PUBLIC**  
Cities of Pasig, San Juan and  
in the Municipality of Alibon, Metro Manila  
Office Address: 21, 20th  
PTR No. 24417, 20th Street, 1920 Taguig City  
IEP No. 03597 issued on August 2020/RCM  
No. 1918/19  
MCLE Comp. No. 11442 dated March 28, 2019  
No. 11, Unit 3 Amador's Arcade Bldg.  
Shaw Blvd. Brgy. San Antonio, Pasig City  
Appointment No. 32 (2019-2020)

## BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER:

CORPORATE NAME:

**Instructions:**

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A** Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.  
Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- B** Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- C** Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- D** Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- E** Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- F** Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- G** Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- H** Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Ramos, Alfredo C.	133 Arcadia Avenue, Arcadia Subdivision Ugong Norte Quezon City	FILIPINO	1/26/1944	132-017-513	58.62%	I	A
Ramos, Alfredo C.	133 Arcadia Avenue, Arcadia Subdivision Ugong Norte Quezon City	FILIPINO	1/26/1944	132-017-513	0.62%	D	A

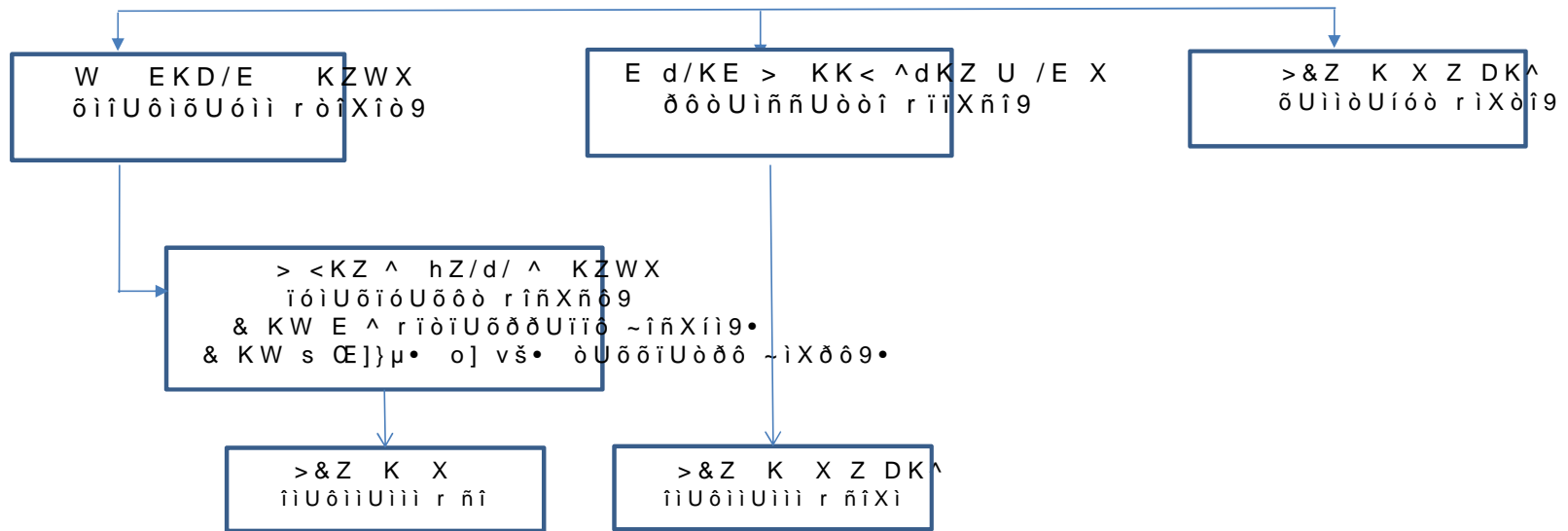
Note: This page is not for uploading on the SEC iView.

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.

<sup>3</sup> For Stock Corporations.

JI @75B`B8I GHF-5@/ `A-B-B; `7CDFDCF5HCB



**CERTIFICATION**

October 19, 2020

We certify that **Professional Stock Transfer, Inc.** (for brevity referred to as “**PSTI**”). A duly registered Philippine Corporation with postal address at 10<sup>th</sup> Floor Telecom Plaza Building, 316 Sen. Gil Puyat Avenue, Makati City is the stock transfer agent of **Vulcan Industrial & Mining Corporation** (for brevity referred as “**VUL**”) likewise a duly-registered Philippine Corporation with postal address at 6<sup>th</sup> Floor, Quad Alpha Centrum, 125 Pioneer St., Mandaluyong City.

As stock transfer agent, PSTI maintains the shareholding records of all VUL stockholders.

We further certify that based on our records the following stockholders listed below do not have Tax Identification Numbers (TIN) on their records on file with us. Please be advised that these are old stockholders of the Corporation, whose records have not been updated.

**Stockholder's Name**

1. BPI FAO IBOD

This certification is being issued upon the request of Vulcan Industrial & Mining Corporation this 19<sup>th</sup> day of October 2020.

  
**JENELINE C. SERAFICA**  
President