

COVER SHEET

SEC Registration Number

7443

VULCAN INDUSTRIAL & MINING

CORPORATION

(Company's Full Name)

6th / F QUA D ALPHA CENTRUM,

125 PIONEER ST MANDALUYON CITY

(Business Address: No., Street City / Town / Province)

Iris Marie U. Carpio-Duque

Contact Person

8631-5139

Company Telephone Number

SEC FORM 23-B

Statement of Changes in Beneficial Ownership of Securities of

PRESENTACION S. RAMOS

1 2 3 1

Month Day Fiscal Year

FORM TYPE

Month Day

Annual Meeting

Secondary License Type, If Applicable

Dept Requiring this Doc

Amended Articles Number / Section

Total Amount of Borrowings

Total No. of Stockholders

Domestic

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document ID

Cashier

STAMPS

Remarks: Please use BLACK ink for scanning purposes

SECURITIES AND EXCHANGE COMMISSION
Metro Manila, Philippines

FORM 23-B

REVISED

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES
Filed pursuant to Section 23 of the Securities Regulation Code

Check box if no longer subject to filing requirement

| 1. Name and Address of Reporting Person Ramos Presentation S. <small>(Last) (First) (Middle)</small> Quad Alpha Centrum, 125 Pioneer St. <small>(Street)</small> Mandaluyong City 1550 <small>(City) (Province) (Postal Code)</small> | | 2. Issuer Name and Trading Symbol VULCAN IND'L. & MINING CORP. (VUL) | | 7. Relationship of Reporting Person to Issuer <small>(Check all applicable)</small> <input checked="" type="checkbox"/> Director <input type="checkbox"/> Officer <input type="checkbox"/> 10% Owner <input type="checkbox"/> Other <small>(give title below) (specify below)</small> | | | | |
|--|---|--|---|---|---|------------------|--|--|
| | | 3. Tax Identification Number 125-496-733 | 5. Statement for Month/Year February 2021 | | | | | |
| | | 4. Citizenship FILIPINO | 6. If Amendment, Date of Original (Month/Year) | | | | | |
| Table 1 - Equity Securities Beneficially Owned | | | | | | | | |
| 1. Class of Equity Security | 2. Transaction Date (Month/Day/Year) | 4. Securities Acquired (A) or Disposed of (D) | | | 3. Amount of Securities Owned at End of Month | | 4. Ownership Form: Direct (D) or Indirect (I) | 6. Nature of Indirect Beneficial Ownership |
| | | No. of Shares | (A) or (D) | Price | % | Number of Shares | | |
| COMMON SHARES - BEGINNING | | | | | | 859,956 | (I) | thru brokers |
| | | | | | | 34,029 | (D) | |
| COMMON SHARES | 2/11/2021 | 10,000 | (D) | 3.11 | | 10,000 | | |
| | 2/11/2021 | 10,000 | (D) | 3.14 | | 10,000 | | |
| | 2/11/2021 | 10,000 | (D) | 3.18 | | 10,000 | | |
| | 2/11/2021 | 10,000 | (D) | 3.19 | | 10,000 | | |
| | 2/11/2021 | 20,000 | (D) | 3.20 | | 20,000 | | |
| | 2/11/2021 | 20,000 | (D) | 3.21 | | 20,000 | | |
| | 2/11/2021 | 20,000 | (D) | 3.23 | | 20,000 | | |
| | 2/15/2021 | 10,000 | (D) | 3.54 | | 10,000 | | |
| | 2/15/2021 | 10,000 | (D) | 3.60 | | 10,000 | | |
| | 2/15/2021 | 10,000 | (D) | 3.65 | | 10,000 | | |
| | 2/15/2021 | 10,000 | (D) | 3.70 | | 10,000 | | |
| | 2/15/2021 | 10,000 | (D) | 3.86 | | 10,000 | | |
| | 2/15/2021 | 10,000 | (D) | 4.00 | | 10,000 | | |
| | 2/15/2021 | 10,000 | (D) | 4.10 | | 10,000 | | |
| | 2/16/2021 | 10,000 | (D) | 4.00 | | 10,000 | | |
| COMMON SHARES - ENDING | | | | | | 679,956 | (I) | thru brokers |
| | | | | | | 34,029 | (D) | |

(Print or Type Responses)

If the change in beneficial ownership is 50% of the previous shareholdings or is equal to 5% of the outstanding capital stock of the issuer, provide the disclosure requirements set forth on page 3 of this form.

Reminder: Report on a separate line for each class of equity securities beneficially owned directly or indirectly.

- (1) A person is directly or indirectly the beneficial owner of any equity security with respect to which he has or shares:
 - (A) Voting power which includes the power to vote, or to direct the voting of, such security; and/or
 - (B) Investment power which includes the power to dispose of, or to direct the disposition of, such security.
- (2) A person will be deemed to have an indirect beneficial interest in any equity security which is:
 - (A) held by members of a person's immediate family sharing the same household;
 - (B) held by a partnership in which such person is a general partner;
 - (C) held by a corporation of which such person is a controlling shareholder; or
 - (D) subject to any contract, arrangement or understanding which gives such person voting power or investment power with respect to such security.

