



**VULCAN INDUSTRIAL & MINING CORPORATION**  
6<sup>TH</sup> Floor Quad Alpha Centrum, 125 Pioneer, Mandaluyong City  
Tel (632) 8631-5139: Fax (632) 8631-3113  
[www.vulcanminingcorp.com](http://www.vulcanminingcorp.com)

October 08, 2021

**Securities and Exchange Commission**

Attn: Rachel Esther J. Gumtang-Remalante  
Officer-in-Charge, Corporate Governance & Finance Department  
Secretariat Building, PICC Complex  
Roxas Boulevard, Metro Manila Philippines

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Ladies and Gentlemen:

In compliance with the alternative filing of reports and/or documents through electronic mail with the Securities and Exchange Commission through Corporate Governance and Finance Department (CGFD) issued on June 24, 2020 in light of the imposition of all Community Quarantine due to COVID-19, we are submitting the Company's report on the Top 100 Stockholders including the Beneficial Ownership by Security (List of PCD Participants) as of September 30, 2021.

Thank you.

Very truly yours,

**Iris Marie U. Carpio-Duque**  
Corporate Secretary / Compliance Officer /  
Corporate Information Officer

# VULCAN INDUSTRIAL & MINING CORPORATION

## LIST OF TOP 100 STOCKHOLDERS

AS OF SEPTEMBER 30, 2021

RANK	NAME	TOTAL SHARES	PERCENTAGE (%)
1	PCD NOMINEE CORP Filipino = 889,531,334 Non-Filipino = <u>14,011,481</u>	903,542,815	62.3133
2	NATIONAL BOOK STORE, INC.	486,055,662	33.5211
3	RAMOS, ALFREDO C.	9,006,176	0.6211
4	SY, EUGENE	3,000,000	0.2069
5	BPI FAO IBOD	2,970,555	0.2049
6	ACUNA, EMMANUEL	2,800,000	0.1931
7	YAN, LUCIO W. &/OR CLARA YAN	2,500,000	0.1724
8	WISE SECURITIES PHILS., INC.	1,690,000	0.1166
9	CAMPOS, LANUZA & CO., INC.	1,500,769	0.1035
10	G & L SECURITIES CO., INC.	880,000	0.0607
11	L.M. GARCIA & ASSOCIATES, INC.	623,724	0.0430
12	VIMC SPECIAL ACCOUNT	576,500	0.0398
13	MENDOZA, ALBERTO &/OR JEANIE C. MENDOZA	564,950	0.0390
14	CARLOS, FILOMENA R.	537,672	0.0371
15	YAN, LUCIO W.	524,904	0.0362
16	LARRGO SECURITIES CO., INC.	511,121	0.0352
17	L. M. GARCIA SEC. CORP.	500,000	0.0345
18	DE GRACIA, ELEANOR LAYA &/OR LAYA, JAIME C.	456,950	0.0315
19	ANSELMO TRINIDAD & CO., INC.	418,035	0.0288
20	ACUNA, EMMANUEL	417,000	0.0288
21	NATIONAL SECRETARIAT OF SOCIAL ACTION- JUSTICE	400,000	0.0276
22	ATC SECURITIES, INC.	389,815	0.0269
23	ROQUE, GONZALO	381,000	0.0263
24	ZOSA, ELBERT M.	380,000	0.0262
25	ATR SECURITIES, INC.	368,000	0.0254
26	NG, OLIVIA	362,490	0.0250
27	SANTOS, AURORA	354,835	0.0245
28	NEW WORLD SECURITIES CO., INC.	352,000	0.0243
29	LAGUINIA, ENRIQUE	314,942	0.0217
30	LAYA, JAIME C.	314,556	0.0217
31	ORTIGAS, JR., RAFAEL	308,200	0.0213
32	DISINI, HERMINIO MUGA, ARMINDA	300,000	0.0207
33	A.T. DE CASTRO SECURITIES CORPORATION	292,535	0.0202
34	C.I.C.M. MISSIONARIES, INC. MERIT SECURITIES, INC.	270,000	0.0186
35	RUFINO, JR., ERNESTO	270,000	0.0186
36	AB CAPITAL SECURITIES, INC.	251,100	0.0173
37	FIRST PACIFIC SECURITIES PHILS., INC.	239,348	0.0165
38	SUMMIT SECURITIES, INC.	222,034	0.0153
39	BARCELON ROXAS SECURITIES, INC.	216,000	0.0149
39	ONG CHIANG, EDITHA	215,836	0.0149
40	ONG CHIANG, EDITHA TIU, MARIANO	215,167	0.0148

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<b>RANK</b>	<b>NAME</b>	<b>TOTAL SHARES</b>	<b>PERCENTAGE (%)</b>
41	DAO HENG SECURITIES PHILIPPINES, INC.	208,500	0.0144
42	JALANDONI JAYME ADAMS & CO., INC.	205,505	0.0142
43	ALAKOR CORPORATION	200,000	0.0138
	MAPANAO, EUGENIA	200,000	0.0138
	NAVARRO, FRANCISCO A.	200,000	0.0138
	POBLADOR III, HONORIO	200,000	0.0138
	YANG, ROBERT	200,000	0.0138
	ALL ASIA SECURITIES MANAGEMENT CORP.	200,000	0.0138
	BA SECURITIES, INC.	200,000	0.0138
44	HENARES, ANTONIO M.	199,999	0.0138
45	DYNAWINDS, INC.	196,325	0.0135
46	MOUNT PEAK SECURITIES, INC.	193,356	0.0133
47	CUALOPING SECURITIES CORPORATION	185,000	0.0128
48	ACERO, BABY C.	180,000	0.0124
	SINCERE SECURITIES CORP. A/C# 1008	180,000	0.0124
49	MARK SECURITIES CORPORATION	174,000	0.0120
50	YOUNG, GEORGE K.	173,218	0.0119
51	RUFINO, ERNESTO	167,400	0.0115
52	QUE, JONATHAN	165,000	0.0114
53	GATMAITAN, ELOISA	160,000	0.0110
	LACSON, MANUEL V.	160,000	0.0110
	VILAR, JOSE VICENTE S.	160,000	0.0110
	YAO, LOLY CO	160,000	0.0110
54	HUANG, THEODORE	152,450	0.0105
55	JOCSON, JOSE	150,500	0.0104
56	LOPEZ, HONRADO	150,000	0.0103
	QUE, MICHAEL	150,000	0.0103
57	COO, BETTY U.	142,400	0.0098
58	DAVID GO SECURITIES CORPORATION	140,750	0.0097
59	FIRST PACIFIC SEC. PHILS., INC. A/C # V-042	140,000	0.0097
60	KEY SECURITIES, INC.	138,610	0.0096
61	VENTURE SECURITIES, INC.	134,000	0.0092
62	PROBITY SECURITIES MANAGEMENT CORP.	131,000	0.0090
63	EBC SECURITIES CORPORATION	130,000	0.0090
	FOSI A/C# 5551	130,000	0.0090
64	LAO, LUSING	129,000	0.0089
65	INVESTORS SECURITIES, INC.	126,421	0.0087
66	PEREZ, ENRIQUE D.	124,500	0.0086
67	BANEZ, FLORENTINA T.	123,794	0.0085
68	PELLICER, LUIS	122,040	0.0084
69	VARUA, EMILY R. &/OR FRANCISCO V. VARUA	120,000	0.0083
70	DMT SECURITIES (PHILS.), INC.	108,000	0.0074
71	ANSALDO, GODINEZ & CO., INC.	106,722	0.0074
72	CHING, LORENZO	105,000	0.0072
	ANG, LUCIO	105,000	0.0072
73	SANTOS, MICHAEL	104,981	0.0072

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RANK	NAME	TOTAL SHARES	PERCENTAGE (%)
74	TANGCO, PACIANO	104,900	0.0072
75	AACTC FAO TRINITY INVESTMENT & MNGT. CORP.	100,000	0.0069
	CHIU, JANETTE NELLIE GO	100,000	0.0069
	FERNANDO, REBECCA	100,000	0.0069
	GO, BERNICE	100,000	0.0069
	GO, FILEMON T.	100,000	0.0069
	LIM, RUBI D.	100,000	0.0069
	LOPEZ, WILLY P.	100,000	0.0069
	ONG, DEBRA	100,000	0.0069
	REYES, AGUSTIN	100,000	0.0069
	RAMOS, ESTELA P.	100,000	0.0069
	SONIDO, EDUARDO HEDY	100,000	0.0069
	SY, WILSON	100,000	0.0069
	TIOC CHUNG GUAT	100,000	0.0069
	VILLANUEVA, PRAXEDES M.	100,000	0.0069
	PHIL. ASIA EQUITY SEC., INC. T-019	100,000	0.0069
	SINCERE SECURITIES CORP. A/C# 1657	100,000	0.0069
76	CMS STOCKBROKERAGE, INC.	98,306	0.0068
77	REYES, MARIVIC	92,000	0.0063
78	BALTAZAR, JOSEFINA	90,000	0.0062
	ESTATE OF ALLEN CHAM	90,000	0.0062
	CHUA UY, SAMUEL	90,000	0.0062
	PITARGUE, ELISEO	90,000	0.0062
79	SY, JOSEPH &/OR EVANGELINE SY	84,164	0.0058
80	CHING, CYNTHIA D.	80,000	0.0055
	GATMAITAN, ANTONIO ITF J. GATMAITAN	80,000	0.0055
	GATMAITAN, ANTONIO ITF A. FRANCISCO	80,000	0.0055
	GATMAITAN	80,000	0.0055
	LHUIILLIER, JEAN HENRI D.	80,000	0.0055
	TANTUCO, ALEXANDER	80,000	0.0055
81	TRENDLINE SECURITIES CORP.	78,062	0.0054
82	NEPOMUCENO, LINDA	77,000	0.0053
83	LI, EDDIE	76,000	0.0052
84	AVANTE, ANTONIO	75,308	0.0052
85	GATMAITAN, ANTONIO ITF ELOISA GATMAITAN	75,000	0.0052
	PAPA SECURITIES INC. A/C# 11	75,000	0.0052
	R. NUBLA SECURITIES, INC.	75,000	0.0052
86	TRANS-ASIA OIL AND ENERGY DEVELOPMENT CORP.	73,487	0.0051
87	DIZON, SALVADOR T.	71,291	0.0049
88	CHING, LORENZO	70,000	0.0048
89	LAYA, SILVINA C.	69,300	0.0048
90	SUN HUNG KAI SECURITIES (PHILS.), INC.	69,000	0.0048
91	CONCEPCION, JOSE C.	68,125	0.0047
92	YU AND COMPANY, INC.	65,810	0.0045
93	MARINO OLONDRIZ Y CIA	65,793	0.0045
94	GATMAITAN, ANTONIO ITF CAR	65,000	0.0045
95	LI, LUISA	62,988	0.0043

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96	PAULINO, MARIE LOU N,	62,902	0.0043
97	FIRST PACIFIC SEC. PHILS., INC. A-106	62,500	0.0043
98	CHAN, VICKY L.	61,743	0.0043
99	CHUA, JENNY	60,000	0.0041
	HOC ANG	60,000	0.0041
	LIANTING, ROBERTO LIM	60,000	0.0041
	PHIL. REMNANTS CO., INC.	60,000	0.0041
	RAGASA, EDGAR A. & D. RAGASA	60,000	0.0041
	TAN, LYDIA C.	60,000	0.0041
100	SUSANA SECURITIES & DEVELOPMENT CORP.	59,000	0.0041
		1,437,801,008	

**OUTSTANDING BALANCES FOR A SPECIFIC COMPANY**

Company Code - VUL000000000

Business Date: September 30, 2021

<b>BPNAME</b>	<b>HOLDINGS</b>
UPCC SECURITIES CORP.	648,758
A & A SECURITIES, INC.	497,400
ABACUS SECURITIES CORPORATION	42,223,157
PHILSTOCKS FINANCIAL INC	8,592,246
A. T. DE CASTRO SECURITIES CORP.	2,515,500
ALPHA SECURITIES CORP.	480,000
BA SECURITIES, INC.	9,955,000
AP SECURITIES INCORPORATED	3,871,950
ANSALDO, GODINEZ & CO., INC.	2,108,295
AB CAPITAL SECURITIES, INC.	4,372,594
SB EQUITIES, INC.	10,916,666
ASIA PACIFIC CAPITAL EQUITIES & SECURITIES CORP.	694,000
ASIASEC EQUITIES, INC.	1,032,095
ASTRA SECURITIES CORPORATION	7,790,000
CHINA BANK SECURITIES CORPORATION	11,490,000
BELSON SECURITIES, INC.	10,713,325
BENJAMIN CO CA & CO., INC.	500,000
B. H. CHUA SECURITIES CORPORATION	3,000
JAKA SECURITIES CORP.	9,837,024
BPI SECURITIES CORPORATION	12,810,450
CAMPOS, LANUZA & COMPANY, INC.	739,156
SINCERE SECURITIES CORPORATION	10,513,000
CENTURY SECURITIES CORPORATION	310,000
CTS GLOBAL EQUITY GROUP, INC.	1,512,560
TRITON SECURITIES CORP.	3,965,000
IGC SECURITIES INC.	342,000
CUALOPING SECURITIES CORPORATION	73,326
DAVID GO SECURITIES CORP.	18,871,850
DIVERSIFIED SECURITIES, INC.	223,060
E. CHUA CHIACO SECURITIES, INC.	2,536,799
EQUITABLE SECURITIES (PHILS.) INC.	20,848
EAST WEST CAPITAL CORPORATION	499,110
EASTERN SECURITIES DEVELOPMENT CORPORATION	16,915,069
EQUITIWORLD SECURITIES, INC.	4,105,652
EVERGREEN STOCK BROKERAGE & SEC., INC.	4,204,000
FIRST ORIENT SECURITIES, INC.	311,000
F. YAP SECURITIES, INC.	4,322,172
AURORA SECURITIES, INC.	1,300,000
GLOBALINKS SECURITIES & STOCKS, INC.	1,001,459
JSG SECURITIES, INC.	20,000
GOLDSTAR SECURITIES, INC.	1,822,000
GUILD SECURITIES, INC.	1,507,449
HDI SECURITIES, INC.	38,473,000
H. E. BENNETT SECURITIES, INC.	30,000
HK SECURITIES, INC.	1,000
I. ACKERMAN & CO., INC.	305,217
I. B. GIMENEZ SECURITIES, INC.	201,980
INVESTORS SECURITIES, INC.	1,296,998
IMPERIAL, DE GUZMAN, ABALOS & CO., INC.	1,194,006
INTRA-INVEST SECURITIES, INC.	19,633
ASIAN CAPITAL EQUITIES, INC.	5,000
J.M. BARCELON & CO., INC.	154,000
VALUE QUEST SECURITIES CORPORATION	1,181,000
STRATEGIC EQUITIES CORP.	2,000
LARRGO SECURITIES CO., INC.	3,352
LOPEZ, LOCSIN, LEDESMA & CO., INC.	55,057
LUCKY SECURITIES, INC.	4,539,500
LUYS SECURITIES COMPANY, INC.	5,395,020
MANDARIN SECURITIES CORPORATION	36,865,546

<b>BPNAME</b>	<b>HOLDINGS</b>
MARINO OLONDRIZ Y CIA	23,000
COL Financial Group, Inc.	83,942,206
DA MARKET SECURITIES, INC.	152,000
MERCANTILE SECURITIES CORP.	5,677,000
MERIDIAN SECURITIES, INC.	1,067,500
MDR SECURITIES, INC.	7,178,474
REGIS PARTNERS, INC.	109,919
MOUNT PEAK SECURITIES, INC.	125,500
NEW WORLD SECURITIES CO., INC.	470,000
OPTIMUM SECURITIES CORPORATION	578,000
RCBC SECURITIES, INC.	1,724,024
PAN ASIA SECURITIES CORP.	172,100
PAPA SECURITIES CORPORATION	8,818,110
MAYBANK ATR KIM ENG SECURITIES, INC.	14,330,464
PLATINUM SECURITIES, INC.	30,758
PNB SECURITIES, INC.	382,000
PREMIUM SECURITIES, INC.	17,576,800
PRYCE SECURITIES, INC.	4,586
SALISBURY BKT SECURITIES CORPORATION	412,875
QUALITY INVESTMENTS & SECURITIES CORPORATION	4,116,281
R & L INVESTMENTS, INC.	9,000
ALAKOR SECURITIES CORPORATION	206,149,755
R. COYIUTO SECURITIES, INC.	5,359,673
REGINA CAPITAL DEVELOPMENT CORPORATION	1,659,797
R. NUBLA SECURITIES, INC.	2,524,210
AAA SOUTHEAST EQUITIES, INCORPORATED	2,693,000
R. S. LIM & CO., INC.	953,000
RTG & COMPANY, INC.	354,197
S.J. ROXAS & CO., INC.	4,311,755
SECURITIES SPECIALISTS, INC.	160,452
FIDELITY SECURITIES, INC.	424,000
SUMMIT SECURITIES, INC.	1,771,209
STANDARD SECURITIES CORPORATION	4,497,299
SUPREME STOCKBROKERS, INC	5,000
TANSENGCO & CO., INC.	1,867,517
THE FIRST RESOURCES MANAGEMENT & SECURITIES CORP.	2,909,780
TOWER SECURITIES, INC.	52,776,100
TRANS-ASIA SECURITIES, INC.	1,450,000
TRENDLINE SECURITIES CORPORATION	13,049
UCPB SECURITIES, INC.	1,348,500
E.SECURITIES, INC.	100
VENTURE SECURITIES, INC.	340,691
FIRST METRO SECURITIES BROKERAGE CORP.	21,355,522
WEALTH SECURITIES, INC.	9,919,775
WESTLINK GLOBAL EQUITIES, INC.	850,000
BERNAD SECURITIES, INC.	14,143,000
WONG SECURITIES CORPORATION	270,100
YAO & ZIALCITA, INC.	1,611,000
YU & COMPANY, INC.	1,535,000
BDO SECURITIES CORPORATION	26,598,667
EAGLE EQUITIES, INC.	4,021,000
GOLDEN TOWER SECURITIES & HOLDINGS, INC.	10,000
SOLAR SECURITIES, INC.	1,520,000
G.D. TAN & COMPANY, INC.	1,656,704
PHILIPPINE EQUITY PARTNERS, INC.	530,000
UNICAPITAL SECURITIES INC.	3,184,449
SunSecurities, Inc.	5,386,000
COHERCO SECURITIES, INC.	284,000
TIMSON SECURITIES, INC.	4,646,000
STAR ALLIANCE SECURITIES CORP.	59,544,338
DEUTSCHE BANK MANILA-CLIENTS A/C	300
STANDARD CHARTERED BANK	1,318,000
THE HONGKONG AND SHANGHAI BANKING CORP. LTD. -CLIENTS' ACCT.	800,000
<b>TOTAL</b>	<b>903,542,815</b>

**BPNAME****HOLDINGS**

If no written notice of any error or correction is received by PDTC within five (5) calendar days from receipt hereof, you shall be deemed to have accepted the accuracy and completeness of the details indicated in this report.